# RESEARCH



# The impact of sarcopenia on esophagectomy for cancer: a systematic review and metaanalysis

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# Abstract

**Background** Esophagectomy is the gold-standard treatment for locally advanced esophageal cancer but has high morbimortality rates. Sarcopenia is a common comorbidity in cancer patients. The exact burden of sarcopenia in esophagectomy outcomes remains unclear. Therefore, this systematic review and meta-analysis were performed to establish the impact of sarcopenia on postoperative outcomes of esophagectomy for cancer.

**Methods** We performed a systematic review and meta-analysis comparing sarcopenic with non-sarcopenic patients before esophagectomy for cancer (Registration number: CRD42021270332). An electronic search was conducted on Embase, PubMed, Cochrane, and LILACS, alongside a manual search of the references. The inclusion criteria were cohorts, case series, and clinical trials; adult patients; studies evaluating patients with sarcopenia undergoing esophagectomy or gastroesophagectomy for cancer; and studies that analyze relevant outcomes. The exclusion criteria were letters, editorials, congress abstracts, case reports, reviews, cross-sectional studies, patients undergoing surgery for benign conditions, and animal studies. The meta-analysis was synthesized with forest plots.

**Results** The meta-analysis included 40 studies. Sarcopenia was significantly associated with increased postoperative complications (RD: 0.08; 95% CI: 0.02 to 0.14), severe complications (RD: 0.11; 95% CI: 0.04 to 0.19), and pneumonia (RD: 0.13; 95% CI: 0.09 to 0.18). Patients with sarcopenia had a lower probability of survival at a 3-year follow-up (RD: -0.16; 95% CI: -0.23 to -0.10).

**Conclusion** Preoperative sarcopenia imposes a higher risk for overall complications and severe complications. Besides, patients with sarcopenia had a lower chance of long-term survival.

Keywords Sarcopenia, Esophageal neoplasms, Esophagectomy, Systematic review, Meta-analysis

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## Background

Esophagectomy is a major surgical procedure with an inherently high risk for postoperative complications [1]. The main complications are anastomotic leak, infection, paralysis of the vocal cords, pulmonary-related complications, and others [2, 3]. The postoperative mortality risk is around 5% [4]. Consequently, a rigorous preoperative risk surgical assessment is necessary to improve postoperative outcomes. In this setting, eligibility for the surgery depends on the patient's general conditions, including caloric-protein nutritional status [5, 6].

Patients with esophageal cancer often present a malnutrition status. Esophageal cancer leads to obstructive symptoms, as the tumor mass prevents food passage and thus makes it impossible for the patient to intake the necessary calories and nutrients [7]. In addition, the metabolic and physical effects of cancer, with a chronic inflammatory state and excessive catabolism, as well as the side effects of anti-cancer treatments, contribute to cachexia and weight loss [8, 9].

Sarcopenia is a syndrome characterized by loss of strength and skeletal muscle mass [10]. The prevalence of preoperative sarcopenia in patients with esophageal cancer ranges from 14.4 to 80% [2]. The calculation of skeletal muscle mass (SMM), based on the skeletal muscle index (SMI) obtained by computed tomography of the transverse muscle mass at the level of the lumbar vertebras, is the gold standard test to diagnose sarcopenia [11]. Computed tomography is routinely ordered as a preoperative exam for esophageal cancer patients, and consequently, SMM is a promptly accessible and cheap test to investigate sarcopenia [11].

Sarcopenia is related to worse postoperative outcomes due to the increased risk of infection, physical disability, and deficit of tissue regeneration [2, 10]. Consequently, sarcopenia may pose a high risk for patients undergoing esophagectomy [10].

This systematic review and meta-analysis aim to increase the level of evidence with a quantitative synthesis of results that analyze the impact of sarcopenia on postoperative outcomes of patients with esophageal cancer submitted to curative resection.

#### Methods

The systematic review and meta-analysis was reported and conducted in accordance with the PRISMA (Preferred Reporting Items for Systematic Review and Meta-Analysis) statement [12]. The study protocol was registered on PROSPERO (International Prospective Register of Systematic Reviews) [13] under the registration number CRD42021270332.

#### **Eligibility criteria**

The inclusion criteria are cohort studies, case series, and clinical trials; adult patients (>18 years old); studies evaluating patients with sarcopenia undergoing esophagectomy or gastroesophagectomy for cancer; and studies that analyze relevant outcomes.

The exclusion criteria are letters, editorials, congress abstracts, case reports, reviews, cross-sectional studies, patients undergoing surgery for reasons other than esophageal cancer, and animal studies.

#### Information sources and search strategy

An online search was conducted in parallel and independently by two reviewers through PubMed, Embase, Cochrane Library Central, and Lilacs (BVS), alongside a manual search of references from all included studies, previous systematic reviews and meta-analyses. The search strategy was developed from the databases' inception to December 2022 based on a combination of MeSH terms and keywords on Medline and Embase ((esophagectom\* OR esophageal resection OR esophag\* excision OR esophagus resection OR esophag\* removal OR oesophago-gastrectomy OR oesophagectom\*) AND (sarcopen\* OR muscle loss OR muscle dystrophy OR muscle atrophy OR muscle atrophies OR muscle weakness OR muscle wasting OR muscle degeneration OR muscular loss OR muscular degeneration OR muscular atrophies OR muscular dystrophy OR cachexia OR cachectic) ; Lilacs ((sarcopen\* OR muscle loss OR perda muscular OR muscle atroph\* OR atrofia muscular OR cachexia) AND (esophagectom\* OR oesophago-gastrectomy OR esophageal resection OR oesophagectomy OR (esophageal AND surgical resection)) AND (esophagus tumor OR esophagus cancer OR câncer de esôfago OR malign esophagus)); Cochrane ((esophagectom\* OR esophageal resection OR esophageal excision OR esophagus excision OR esophagus removal OR oesophago-gastrectomy) AND (sarcopen\* OR muscle loss OR muscle weakness OR muscle wasting OR muscular loss)).

#### Study selection

Two reviewers conducted the study selection in parallel and independently. In case of conflict concerning the inclusion of a study, a third more experienced reviewer solved it after a group discussion where both parties were taken into consideration. The study selection was initially by title evaluation, abstract, and later by full-text analysis, following the predefined eligibility criteria. No restrictions were applied on either language or period of publication. No filters were used for selection.

#### **Data extraction**

The baseline characteristics of the included studies were extracted, such as mean age, sex, esophageal cancer type,

clinical staging, neoadjuvant therapy, type of esophagectomy, and the outcomes-related variables, such as postoperative mortality, postoperative complications, anastomotic leak, length of hospital stay, and length of ICU stay.

# Statistical analysis and data synthesis

Data were manually extracted independently by two reviewers and then meta-analyzed using the Software STATA 16.0 (StataCorp LLC). The summary results were expressed as risk difference (RD) for categorical variables and mean differences (MD) for continuous variables. A 95% confidence interval was applied. Statistical heterogeneity was evaluated using the  $I^2$  test A random effect model was applied to weigh the statistical and clinical heterogeneity. The meta-analysis was synthesized with forest plots.

In addition, a subset of studies that assessed sarcopenia with Skeletal Muscle Mass Index (SMI) was performed to investigate the robustness of the meta-analysis. Both fixed and random effect models were applied for this subset of studies as sensitivity analyses.

#### **Risk of bias assessment**

All eligible studies considered went through the risk of bias assessment by the Newcastle Ottawa scale [14], a tool typically used for assessing the quality of non-randomized studies. Risk of bias and quality assessment was conducted by two independent reviewers. If there is any disagreement, a third reviewer made the decision after a group discussion where both parties were taken into consideration.

			No sarc				Risk Diff.	We
Study	Yes	No	Yes	No			with 95% CI	
WAKEFILED CJ - 2021	0	39	0	13			0.00 [ 0.00, 0.00]	4
WANG PY - 2020	2	53	0	97			0.04 [ -0.01, 0.09]	4
SRPCIC M - 2020	1	22	8	108		-	-0.03 [ -0.12, 0.07]	3
KAMITANI N - 2019	26	54	7	з		_	-0.37 [ -0.68, -0.07]	1
XU J - 2019	0	73	0	68			0.00 [ 0.00, 0.00]	4
YASSAIE SS - 2019	8	25	0	20		-	0.24 [ 0.10, 0.39]	2
SOMA D - 2019	0	45	0	57			0.00 [ 0.00, 0.00]	4
NAKASHIMA Y - 2018	5	166	0	170			0.03 [ 0.00, 0.05]	4
JARVINEN T - 2018	6	86	1	22		-	0.02 [ -0.08, 0.12]	3
MAKIURA D - 2018	2	29	0	67		-	0.06 [ -0.02, 0.15]	4
ELLIOTT JA - 2017	1	48	1	142			0.01 [ -0.03, 0.06]	4
KUDOU K - 2017	0	42	0	106			0.00 [ 0.00, 0.00]	4
GROTENHUIS BA - 2016	3	51	3	63		-	0.01 [ -0.07, 0.09]	4
NISHIGORI T - 2016	1	148	1	49			-0.01 [ -0.05, 0.03]	4
HARADA K - 2016	0	84	2	170			-0.01 [ -0.03, 0.00]	4
IDA S - 2015	0	61	0	77			0.00 [ 0.00, 0.00]	4
PANJE CM - 2019	0	31	2	27		-	-0.07 [ -0.16, 0.02]	3
SIEGAL SR - 2018	2	125	2	44			-0.03 [ -0.09, 0.04]	4
SAEKI H - 2018	2	83	2	70			-0.00 [ -0.05, 0.05]	4
MURNANE LC- 2021	1	65	1	41			-0.01 [ -0.06, 0.05]	4
COLCORD ME- 2021	4	37	2	132		-	0.08 [ -0.01, 0.18]	3
KURITA D - 2022	0	22	0	179			0.00 [ 0.00, 0.00]	4
SUGIMURA K - 2022	1	138	1	223			0.00 [ -0.01, 0.02]	4
Overall						•	0.01 [ -0.03, 0.05]	
Heterogeneity: $\tau^2 = 0.01$ , $I^2$	= 93.23	8%, H²	= 14.78	3				
Test of $\theta_i = \theta_i$ : Q(22) = 35.0	4, p = 0	.04						
Test of θ = 0: z = 0.33, p = 0	0.74							
				-1	5	ó	.5	
Random-effects Hedges mod	del			Favor	s Sarcopenia		Favors Non-Sarcopenia	

Fig. 1 PRISMA 2020 Flowchart

#### Outcomes

The following outcomes were analyzed: postoperative mortality, postoperative complications, anastomotic leak, length of hospital stay, and length of ICU stay.

# Results

# Study selection and characteristics

As detailed in the selection flow diagram (Fig. 1), the initial search yielded 2804 results. After the removal of duplicate records and ineligible studies, 103 remained and were fully reviewed based. Of these, 40 were included [15–54], comprising 5669 patients from retrospective and prospective observational data.

The mean age across the studies was 65 years, with male predominance (82%). The baseline characteristics of the included studies are reported in Table 1.

Quality assessment using the New-castle-Ottawa Scale demonstrated that all the included studies scored 5 or 6 points out of 9 (Supplementary File 1).

#### Postoperative mortality

Patients with sarcopenia had a similar all-cause mortality rate compared with non-sarcopenic patients after esophagectomy (RD: 0.01; 95% CI: -0.03 to 0.05;  $I^2$ =93.23%; 23 studies with 3573 patients; see Fig. 2).

## Postoperative complications

Sarcopenia before the esophageal surgery was related to an increased risk for overall complications (RD: 0.08; 95% CI: 0.02 to 0.14; I<sup>2</sup>=67.69%; 24 studies with 3767 patients; see Fig. 3a), and a higher risk for severe complications (Clavien-Dindo>IIIa) (RD: 0.11; 95% CI: 0.04 to 0.19; I<sup>2</sup>=68.90%; 10 studies with 1489 patients; see Fig. 3b). It was reported an increased risk for pneumonia (RD: 0.13; 95% CI: 0.09 to 0.18; I<sup>2</sup>=63.66%; 21 studies with 3062 patients; see Fig. 4b). However, the anastomotic leakage rate was similar between the two groups (RD 0.01; 95% CI: -0.01 to 0.02; I<sup>2</sup>=0,00%; 28 studies with 4316 patients; see Fig. 4a).

## Length of hospital stay

Patients with sarcopenia had a longer length of hospital stay (MD: 3.54 days; 95% CI: 0.41 to 6.66;  $I^2$ =94.82%; 15 studies with 1882 patients; see Fig. 5) than patients with no sarcopenia before esophagectomy.

#### **Overall survival**

Patients with sarcopenia had a lower probability of survival at 3-year follow-up (RD: -0.16; 95% CI: -0.23 to -0.10;  $I^2$ =70.35%; 24 studies with 3504 patients, see Fig. 6).

1       73       65.3       R       0.53       NI         85       64.3       R       0.88       24         121       65       R       0.83       36         121       65       R       0.83       36         152       64.6       P       0.83       36         152       64.6       P       0.87       60         139       63.9       P       0.65       NI         139       63.9       P       0.64       18.1         139       63.9       P       0.66       NI         139       63.9       P       0.65       NI         139       65.9       R       0.88       3         141       59.6       R       0.83       38         141       59.6       R       0.78       12         123       65       R       0.88       38         141       59.6       R       0.78       27         163       65       R       0.78       27         163       65       R       0.78       27         163       65       R       0.78       27 </th <th>Author - year</th> <th>N Age (years)</th> <th>Design</th> <th>Male Follow-up</th> <th>MIE, hybrid, or VATS</th> <th>SCC</th> <th>Neo- adj. CRT</th> <th>Stage III/IV</th> <th>Method</th> <th>Sarcopenia definition</th> <th></th>	Author - year	N Age (years)	Design	Male Follow-up	MIE, hybrid, or VATS	SCC	Neo- adj. CRT	Stage III/IV	Method	Sarcopenia definition	
73       65.3       R       0.53       NI         85       64.3       R       0.88       24         85       64.3       R       0.83       36         121       65       R       0.83       36         52       65       R       0.83       36         121       65       R       0.83       36         132       64.6       P       0.67       3         133       64.1       R       0.87       60         113       64.1       R       0.87       60         113       64.2       R       0.87       60         139       63.9       P       0.65       NI         90       66.2       R       0.83       38         141       59.6       R       0.83       38         141       59.6       R       0.79       12         123       70       R       0.83       8         141       59.6       R       0.79       12         123       70       R       0.83       8         115       63       R       0.85       60         3										Men	Women
85       64.3       R       0.88       24         121       65       R       0.83       36         52       65       R       0.87       24.9         152       64.6       P       0.87       24.9         135       62.8       R       0.87       24.9         135       64.6       P       0.87       3         139       63.9       P       0.65       NI         139       63.9       P       0.65       NI         139       63.9       P       0.88       3         141       59.6       R       0.86       20.8         141       59.6       R       0.86       20.8         141       59.6       R       0.86       20.8         141       59.6       R       0.79       12         153       64.5       R       0.86       27         163       65       R       0.78       27         115       63       R       0.79       27         163       65       R       0.78       27         163       65       R       0.76       24	NAMBARA M – 2021		۲		0.88	∣₂	0.68	0.52	BIA	SMM < 90%	
121         65         R         0.83         36           52         65         R         0.87         24.9           52         65         R         0.87         24.9           152         64.6         P         0.87         3           152         64.6         P         0.67         3           72         66         R         0.9         N1           89         64.1         R         0.87         60           113         64.2         R         0.86         3           113         64.2         R         0.83         3           194         64         R         0.83         38           194         64         R         0.83         38           194         64         R         0.83         38           192         65         R         0.79         12           193         65         R         0.78         27           193         65         R         0.78         27           193         65         R         0.78         27           193         65         R         0.78	FEHRENBACH U – 2021		£		0.91	0	0.21	0.67	CT L3	SMI≤52.4 cm <sup>2</sup> /m <sup>2</sup>	SMI ≤ 38.5 cm <sup>2</sup> /m <sup>2</sup>
52       65       R       0.87       24.9         187       62.8       R       0.87       3         152       64.6       P       0.67       3         72       66       R       0.87       60         113       64.2       R       0.88       3         113       64.2       R       0.88       3         113       64.2       R       0.88       3         123       64.5       R       0.88       3         141       59.6       R       0.88       3         102       68       R       0.88       3         111       56.6       R       0.79       12         123       70       R       0.88       3         133       65.6       R       0.78       27         141       59       63       12       27         153       70       R       0.79       27         163	<b>UEMURA S – 2021</b>		£		0.99	Z	0.3	Z	CT L3	PMI <6 cm <sup>2</sup> /m <sup>2</sup> , BMI < 18.5 kg/m <sup>2</sup>	
187       62.8       R       0.8       0.5         152       64.6       P       0.67       3         72       66       R       0.9       NI         89       64.1       R       0.39       N         133       63.9       P       0.87       60         113       64.2       R       0.87       60         113       64.2       R       0.83       3         123       63.9       P       0.86       NI         90       66.2       R       0.83       3         141       59.6       R       0.83       NI         123       64.5       R       0.86       20.8         141       59.6       R       0.79       12         123       64.5       R       0.79       27         163       65       R       0.79       27         115       63       R       0.79       27         341       NI       R       0.85       60         341       NI       0.78       27         98       65.6       R       0.75       24         98       <	WAKEFILED CJ – 2021		с		0.23	0.17	Z	0.15	CT L3	SMI: $< 43 \text{ cm}^2/\text{m}^2$ (BMI $< 25$ ), 53 cm $^2/\text{m}^2$ (BMI $\ge 25$ )	$SMI < 41 \text{ cm}^2/\text{m}^2$
152       64.6       P       0.67       3         72       66       R       0.9       NI         89       64.1       R       0.87       60         113       64.2       R       0.87       60         113       64.2       R       0.87       60         113       64.2       R       0.86       3         123       65.9       R       0.88       3         141       59.6       R       0.88       38         141       59.6       R       0.88       38         141       59.6       R       0.88       38         123       64.5       R       0.88       38         123       70       R       0.88       20.8         123       70       R       0.82       60         341       NI       R       0.79       12         123       70       R       0.78       27         153       65.6       R       0.75       24         98       65.6       R       0.75       24         98       65.6       R       0.85       24         <	MAYANAGI S – 2020		ы		0.87	0.90	0.43	0.36	CT L3	PMI < 6.36 cm <sup>2</sup> /m <sup>2</sup>	PMI < 3.92 cm <sup>2</sup> /m <sup>2</sup>
72       66       R       0.9       NI         89       64.1       R       0.87       60         113       64.2       R       0.87       60         113       64.2       R       0.87       60         113       64.2       R       0.87       81         129       65.9       R       0.87       NI         90       66.2       R       0.88       38         141       59.6       R       0.88       38         141       59.6       R       0.79       12         53       64.5       R       0.88       38         102       68       R       0.79       12         53       64.5       R       0.79       12         53       64.5       R       0.79       12         112       70       R       0.85       60         341       NI       R       0.85       60         341       NI       8       0.75       24         98       65.6       8       0.85       24         98       65.6       8       8       0.85       24	WANG PY – 2020		۵_		<del>-</del>	0.93	0.40	0.61	Z	Low appendicular skeletal muscle mass index <7 kg/m <sup>2</sup>	Low appendicular skeletal muscle mass index < 5.7 ka/m <sup>2</sup>
89       64.1       R       0.87       60         113       64.2       R       0.85       3         139       63.9       P       0.84       18.1         139       63.9       P       0.84       18.1         140       66.2       R       0.87       NI         90       66.2       R       0.87       NI         141       59.6       R       0.88       38         124       64       R       0.88       38         121       56       R       0.87       NI         102       68       R       0.79       12         31       NI       R       0.87       NI         102       68       R       0.79       12         341       NI       R       0.85       60         341       NI       R       0.85       60         341       S       0.75       24         98       65.6       R       0.85       24         98       65.6       R       0.85       24	MAEDA N – 2020		с		0.69	<del>, -</del>	0.01	0.5	CT L3	SMI < 52.4 cm <sup>2</sup> /m <sup>2</sup>	$SMI < 38.5 \text{ cm}^2/\text{m}^2$
113       64.2       R       0.85       3         139       63.9       P       0.84       18.1         139       63.9       P       0.84       18.1         165       65.9       R       0.65       NI         141       59.6       R       0.87       NI         102       68       R       0.83       38         141       59.6       R       0.83       38         123       64.5       R       0.83       NI         102       68       R       0.79       12         341       NI       R       0.85       60         341       NI       R       0.78       27         153       65       R       0.75       24         98       65.6       R       0.85       60         98       65.6       R       0.85       24         98       65.6       R       0.85       24	SAKAI M – 2021		£		Z	0.93	0	0.48	CT L3	SMI < 52.4 cm <sup>2</sup> /m <sup>2</sup>	$SMI < 38.5 \text{ cm}^2/\text{m}^2$
139       63.9       P       0.84       18.1         26       58       P       0.65       NI         165       65.9       R       0.87       NI         90       66.2       R       0.86       20.8         141       59.6       R       0.88       38         141       59.6       R       0.79       12         53       64.5       R       0.79       12         102       68       R       0.93       NI         112       57       R       0.78       27         115       63       R       0.85       60         341       NI       R       0.85       60         341       NI       R       0.85       60         98       65.6       R       0.85       24         98       65.6       R       0.85       24	KAWAKITA Y – 2020		с	0.85 3	-	<del>, -</del>	<i>—</i>	Z	CT L3	$PMI < 3.85 \text{ cm}^2/\text{m}^2$	$PMI < 2.42 \text{ cm}^2/\text{m}^2$
26     58     P     0.65     NI       165     65.9     R     0.87     NI       90     66.2     R     0.86     20.8       194     64     R     0.86     20.8       141     59.6     R     0.86     20.8       131     59.6     R     0.79     12       53     64.5     R     0.79     12       102     68     R     0.79     12       112     57     R     0.79     27       123     70     R     0.78     27       341     NI     R     0.85     60       341     NI     0.78     24       98     65.6     R     0.75     24       98     65.6     R     0.85     24	<b>SRPCIC M – 2020</b>		д.		0.37	0.46	0.53	0.44	CT L3	SMI < 43.1 cm <sup>2</sup> /m <sup>2</sup>	$SMI < 32.7 \text{ cm}^2/\text{m}^2$
165       65.9       R       0.87       NI         90       66.2       R       0.86       20.8         194       64       R       0.86       20.8         141       59.6       R       0.88       38         141       59.6       R       0.79       12         53       64.5       R       0.79       12         102       68       R       0.92       NI         103       65       R       0.79       27         113       70       R       0.78       27         341       NI       R       0.85       60         3115       63       R       0.75       24         98       65.6       R       0.75       24         98       65.6       R       0.85       24	MENEZES TM – 2020		۵		0.69	Z	<del>.                                    </del>	0.42	CT L3	MMI 43 cm <sup>2</sup> /m <sup>2</sup> (BMI < 25), 53 cm <sup>2</sup> /m <sup>2</sup> (BMI ≥ 25). PTA < 545 mm <sup>2</sup> /m <sup>2</sup>	MMI 41 cm <sup>2</sup> /m <sup>2</sup> . PTA < 285 mm <sup>2</sup> /m <sup>2</sup>
90       66.2       R       0.36       20.8         194       64       R       0.88       38         141       59.6       R       0.79       12         53       64.5       R       0.79       12         102       68       R       0.37       NI         102       68       R       0.37       NI         113       70       R       0.38       60         341       NI       R       0.35       60         341       NI       R       0.35       60         341       S       0.75       24         98       65.6       R       0.75       24         98       65.6       R       0.35       24	ISHIDA T – 2019		с		0.25	Z	<del>, -</del>	0.70	CT L3	PMI < 6.36 cm2/m <sup>2</sup>	$PMI < 3.92 \text{ cm}^2/\text{m}^2$
194       64       R       0.88       38         141       59.6       R       0.79       12         53       64.5       R       0.92       NI         102       68       R       0.37       NI         112       65       R       0.37       27         123       70       R       0.38       60         341       NI       R       0.85       60         341       NI       R       0.35       24         98       65.6       R       0.35       24	KAMITANI N – 2019		£		Z	-	<del>, -</del>	Ī	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	$SMI < 38.5 \text{ cm}^2/\text{m}^2$
141       59.6       R       0.79       12         53       64.5       R       0.92       NI         102       68       R       0.87       NI         163       65       R       0.78       27         123       70       R       0.78       27         341       NI       R       0.85       60         341       NI       R       0.85       60         341       S       R       0.85       24         98       65.6       R       0.85       24	0GUMA J – 2019		£		0.27	-	0	Ī	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	$SMI < 38.5 \text{ cm}^2/\text{m}^2$
53       64.5       R       0.92       NI         102       68       R       0.87       NI         163       65       R       0.78       27         1123       70       R       0.78       60         341       NI       R       0.85       60         341       NI       R       0.85       60         398       65.6       R       0.85       24	XUJ-2019		£		-	-	0	Ī	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	$SMI < 38.5 \text{ cm}^2/\text{m}^2$
102     68     R     0.87     NI       163     65     R     0.78     27       123     70     R     0.85     60       341     NI     R     0.85     60       341     NI     R     0.85     60       341     NI     R     0.85     24       98     65.6     R     0.85     24	YASSAIE SS – 2019		۲		0	-	<del>.                                    </del>	0.49	CT L4	> 4% loss of TPA	
163       65       R       0.78       27         123       70       R       0.85       60         341       NI       R       0.85       60         341       NI       R       0.85       60         341       NI       R       0.85       50         9       115       63       R       0.75       24         9       98       65.6       R       0.85       24	SOMA D – 2019		ж		0.63	-	0.24	0.58	CT L3	SMI < 43 cm <sup>2</sup> /m <sup>2</sup> (BMI < 25 kg/m <sup>2</sup> ), < 53 cm <sup>2</sup> /m <sup>2</sup> (BMI > 25 kg/m <sup>2</sup> )	$SMI < 41  cm^2/m^2$
123     70     R     0.85     60       341     NI     R     0.85     60       115     63     R     0.75     24       98     65.6     R     0.85     24	MATSUNAGA T – 2019		с		Z	0.94	0.07	0.36	Z	SMM < lower limit of standard SMM (< 90% of the standard)	(bard)
341 NI         R         0.85         60           115         63         R         0.75         24           98         65.6         R         0.85         24	NAGATA K – 2018		£		0.67	<del>.</del>	Z	0.39	CT L3	$PMI < 4.24 \text{ cm}^2/\text{m}^2$	$PMI < 2.50 \text{ cm}^2/\text{m}^2$
<b>8</b> 115 63 R 0.75 24 <b>9</b> 98 65.6 R 0.85 24	NAKASHIMA Y – 2018		с		Z	0.95	0.52	0.47	CTL3	SMI < 47.24 cm <sup>2</sup> /m <sup>2</sup>	SMI < $36.92 \text{ cm}^2/\text{m}^2$
<b>8</b> 98 65.6 R 0.85 24	JARVINEN T – 2018		ъ		0.77	0.23	-	Z	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	$SMI < 38.5 \text{ cm}^2/\text{m}^2$
	MAKIURA D – 2018		с		0.93	Z	0.72	0.49	Z	Low muscle mass (<7.0 kg/m <sup>2</sup> ) plus low muscle strength (<26 kg) and/or low physical performance (<0.8 m/s)	Low muscle mass (<5.7 kg/m²) plus low muscle strength (<18 ka) and/or low
											physical perfor- mance (< 0.8 m/s)
ELLIOTT JA – 2017 192 61.6 P 0.82 26 NI	ELLIOTT JA – 2017		Ч	I	Z	0.20	<i>.</i>	z	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	$SMI < 38.5 \text{ cm}^2/\text{m}^2$

Author - year	N Age		Design	Male Fo	Follow-up	MIE,	SC		a	Method	Sarcopenia definition	
	(ye	(years)				hybrid, or VATS		adj. CRT	NI/II			
											Men	Women
KUDOU K – 2017	148 64.9	9. R		0.72 60		0.06	0	Z	z	CT L3	SMI < 43 cm <sup>2</sup> /m <sup>2</sup> (BMI < 25 kg/m <sup>2</sup> ), < 53 cm <sup>2</sup> /m <sup>2</sup> (BMI > 25 kg/m <sup>2</sup> )	SMI < 41 cm <sup>2</sup> /m <sup>2</sup>
PAIREDER M – 2017	130 62.8	8. R		0.82 21.2	.2	IZ	0.33	0.05	ĪZ	CT L3	$SMI \le 55 \text{ cm}^2/\text{m}^2$	$SMI \le 39 \text{ cm}^2/\text{m}^2$
GROTENHUIS BA – 2016	120 61.8	8		0.74 20	-	0	0.26	<del></del>	Ī	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	SMI < 38.5 cm <sup>2</sup> /m <sup>2</sup>
MAKIURA D – 2016	104 65.4	4. R		0.85 NI		Z	0.94	0.78	0.44	BIA + HGS	Low muscle mass (< 7.0 kg/m <sup>2</sup> ) plus low muscle strength (< 26 kg) and/or low physical performance	Low muscle mass (<5,7 kg/m <sup>2</sup> ) plus
											(< 0.8 m/s)	low muscle strength
												physical perfor- mance (< 0.8 m/s)
NISHIGORI T – 2016	199 65.3	Э. В		0.82 NI		0.94	-	0.58	0.34	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	$SMI < 38.5 \text{ cm}^2/\text{m}^2$
TAMANDL D – 2016	200 64	R	_	0.75 35.1	1	Z	0.3	0.65	ĪZ	CT L3	$SMI \le 55 \text{ cm}^2/\text{m}^2$	$SMI \le 39 \text{ cm}^2/\text{m}^2$
HARADA K – 2016	256 NI	R		0.92 49	49.6	0.05		0.41	0.59	CT L3	$SMI < 44.5 \text{ cm}^2/\text{m}^2$	SMI < 36.5 cm <sup>2</sup> /m <sup>2</sup>
IDA S – 2015	138 65.2	2 P		0.88 NI		Z	<del>,</del>	0.11	0.25	BIA	SMM < 90% of the standard	
PANJE CM – 2019	60 61	8	85	0.93 48	~	IZ	0.18	<del>.                                    </del>	0.72	CT L3	SMI < 43 cm <sup>2</sup> /m <sup>2</sup> (BMI < 25 kg/m <sup>2</sup> ), < 53 cm <sup>2</sup> /m <sup>2</sup> (BMI > 25 kg/m <sup>2</sup> )	SMI < 41cm <sup>2</sup> /m <sup>2</sup>
SIEGAL SR – 2018	173 65.6	6 R		0.83 27	27.6	0.95	0.12	0.83	0.47	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	SMI < 38.5 cm <sup>2</sup> /m <sup>2</sup>
SAEKI H – 2018	157 64	R		0.82 60	(	IZ		0.53	0.76	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	SMI < 38.5 cm <sup>2</sup> /m <sup>2</sup>
<b>MURNANE LC- 2021</b>	108 66	В		0.75 NI		IZ	0.11	0.21	ĪZ	CT L3	$SMI < 52.4 \text{ cm}^2/\text{m}^2$	SMI < 38.5 cm <sup>2</sup> /m <sup>2</sup>
COLCORD ME- 2021	175 67	В		0.86 12	<u> </u>	<del>, -</del>	0.13	0.84	ĪZ	Hand-grip	< 26 kg	< 16 kg
KAMADA T – 2022	70 68	Я		0.93 36		-	0.88	0.06	0.4	Masseter	< 24.3 cm <sup>2</sup>	< 26.2 cm <sup>2</sup>
WATANABE A – 2022	135 67	£		0.67 NI		-	Z	0.69	0.43	CT L3	Low muscle mass < 7.0 kg/m <sup>2</sup>	Low muscle mass < 5.7 kg/m <sup>2</sup>
KURITA D – 2022	247 65	Я		0.81 NI		<del>.                                    </del>	0.66	0	0.51	Hand-grip	<28 kg	< 18 kg
SUGIMURA K – 2022	363 70	£		0.8 33	~	Z	Z	0.16	0.35	Z	Low muscle mass < 7.0 kg/m <sup>2</sup>	Low muscle mass < 5.7 kg/m <sup>2</sup>

Table 1 (continued)

	:	Sarcope	enia	No	o sarcop	oenia		Mean Diff.	Weight
Study	Ν	Mean	SD	Ν	Mean	SD		with 95% CI	(%)
FEHRENBACH U - 2021	58	39.1	72	27	18.8	32	20	0.30 [ -8.15, 48.75]	1.07
WAKEFILED CJ - 2021	39	9	5.18	13	9	1.48		0.00 [ -2.87, 2.87]	9.18
MAYANAGI S - 2020	133	25	22.2	54	22	15.75		3.00 [ -3.50, 9.50]	6.95
WANG PY - 2020	55	13	10.37	97	9	2.59		4.00 [ 1.83, 6.17]	9.50
KAMITANI N - 2019	80	22	42.75	10	28.5	13.25		6.50 [ -33.27, 20.27]	1.20
XU J - 2019	73	10.4	4.4	68	9.7	3.2		0.70 [ -0.58, 1.98]	9.79
SOMA D - 2019	45	46	46	57	33	31.5		3.00 [ -2.07, 28.07]	3.00
MAKIURA D - 2018	31	53	33.33	67	30	13.33		3.00 [ 13.78, 32.22]	5.33
GROTENHUIS BA - 2016	54	14	40	66	14	27	— <b>—</b> (	0.00 [ -12.04, 12.04]	4.01
IDA S - 2015	61	33.7	2.4	77	28.1	2.1	5	5.60 [ 4.85, 6.35]	9.90
PANJE CM - 2019	31	20.5	9.75	29	18.5	33.5	<b>——</b> 2	2.00 [ -10.31, 14.31]	3.91
SIEGAL SR - 2018	127	12.9	15.5	46	11.96	8		0.94 [ -3.76, 5.64]	8.13
MURNANE LC- 2021	66	15	12	42	13	6	2	2.00 [ -1.91, 5.91]	8.61
COLCORD ME- 2021	41	8	3.2	134	9	4.8	-1	1.00 [ -2.57, 0.57]	9.71
KURITA D - 2022	22	15.5	6.2	179	12	3.2		3.50 [ 1.89, 5.11]	9.70
Overall							♦ 3	3.54 [ 0.41, 6.66]	
Heterogeneity: $\tau^2 = 25.48$ ,	l² = 94	.82%, <b>⊦</b>	l <sup>2</sup> = 19.3	80					
Test of $\theta_i = \theta_j$ : Q(14) = 108.	.67, p :	= 0.00							
Test of $\theta$ = 0: z = 2.22, p =	0.03								

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Favors Sarcopenia

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Favors Non-Sarcopenia

## Fig. 2 Postoperative mortality

	Sarco	peniał	No sarce	openia		Risk Diff.	Weight
Study	Yes	No	Yes	No		with 95% CI	(%)
FEHRENBACH U - 2021	2	56	5	22		-0.15 [ -0.30, 0.00]	4.60
UEMURA S - 2021	45	33	28	15		-0.07 [ -0.25, 0.11]	4.18
WAKEFILED CJ - 2021	14	25	8	5		-0.26 [ -0.56, 0.05]	2.55
MAEDA N - 2020	40	24	6	2		0.12 [ -0.45, 0.20]	2.37
SAKAI M - 2021	30	19	33	7		-0.21 [ -0.39, -0.03]	4.17
SRPCIC M - 2020	8	15	56	60		-0.13 [ -0.35, 0.08]	3.64
KAMITANI N - 2019	0	80	7	3		-0.70 [ -0.98, -0.42]	2.76
OGUMA J - 2019	16	12	153	13		-0.35 [ -0.54, -0.16]	4.05
MATSUNAGA T - 2019	56	26	68	13		-0.16 [ -0.29, -0.03]	5.02
NAKASHIMA Y - 2018	133	38	123	47	-	0.05 [ -0.04, 0.15]	5.60
MAKIURA D - 2018	13	18	46	21		-0.27 [ -0.47, -0.06]	3.77
ELLIOTT JA - 2017	22	27	86	57		-0.15[-0.31, 0.01]	4.49
KUDOU K - 2017	30	12	90	16		-0.13 [ -0.29, 0.02]	4.62
PAIREDER M - 2017	32	48	29	21		-0.18 [ -0.35, -0.01]	4.27
GROTENHUIS BA - 2016	36	18	42	24		- 0.03 [ -0.14, 0.20]	4.32
TAMANDL D - 2016	59	72	44	26		-0.18 [ -0.32, -0.04]	4.80
HARADA K - 2016	40	44	101	71		-0.11 [ -0.24, 0.02]	5.00
PANJE CM - 2019	19	12	18	11		-0.01 [ -0.25, 0.24]	3.22
SIEGAL SR - 2018	83	44	32	14		-0.04 [ -0.20, 0.11]	4.55
SAEKI H - 2018	36	49	40	32		-0.13 [ -0.29, 0.02]	4.57
MURNANE LC- 2021	36	30	28	14		-0.12 [ -0.31, 0.07]	4.07
KAMADA T - 2022	12	24	28	6		-0.49 [ -0.69, -0.29]	3.86
WATANABE A - 2022	15	18	68	30		-0.24 [ -0.43, -0.05]	3.97
SUGIMURA K - 2022	90	49	177	47	-	-0.14 [ -0.24, -0.05]	5.54
Overall					•	-0.16 [ -0.23, -0.10]	
Heterogeneity: $\tau^2 = 0.02$ , $I^2$	= 70.35	5%, H	<sup>2</sup> = 3.37				
Test of $\theta_i = \theta_j$ : Q(23) = 60.1	2, p = 0	.00					
Test of θ = 0: z = -5.14, p =	0.00						
				-1	5 0	.5	
Random-effects Hedges mo	del			Favo	rs Sarcopenia	Favors Non-Sarcopenia	

Random-effects Hedges model

Fig. 3 Postoperative complications. (a) Overall complications; (b) Severe complications (Clavien-Dindo > IIIa)

#### Sensitivity analysis

A subset analysis of studies that assessed sarcopenia using a cutoff for SMI  $\leq$  38.5 cm<sup>2</sup>/m<sup>2</sup> in women and  $\leq$  52.4  $cm^2/m^2$  in men showed a reduction in  $I^2$  values. The direction and significance of the results were consistent for all endpoints except postoperative overall complications. The subgroup analyses found a significant impact of sarcopenia on overall complications, both in the fixed and random effect models. (Supplementary File 2).

## Discussion

In this systematic review and meta-analysis, we compared sarcopenic with non-sarcopenic patients who underwent esophagectomy for cancer. Preoperative sarcopenia was related to poor short- and long-term postoperative outcomes.

A variety of methods have been used to evaluate nutrition in esophageal cancer patients. Anthropometric measurements, blood indicators, energy expenditure, validated nutritional risk score, and patient-reported dietary history could be generally categorized among them [55, 56]. However, blood biomarkers of malnutrition may be affected by systemic therapies, and anthropometric measurements may fail in detecting early signs of muscle loss or in detecting malnutrition among patients with fluid disturbance, such as those with hypoalbuminemia [57, 58]. The current review focuses on the assessment of muscle mass.

The decrease in skeletal muscle mass, strength, and physical performance, known as sarcopenia, has been linked to several consequences in the human body [59, 60], making patients vulnerable to adverse outcomes. Muscle tissue is essential for protein storage, regulation of glucose metabolism, the balance of hormones, and the immunological system, aside from mobilization [61].

Our review showed that sarcopenia before oncological esophagectomy was linked to a higher risk for postoperative complications, mainly pneumonia. However,

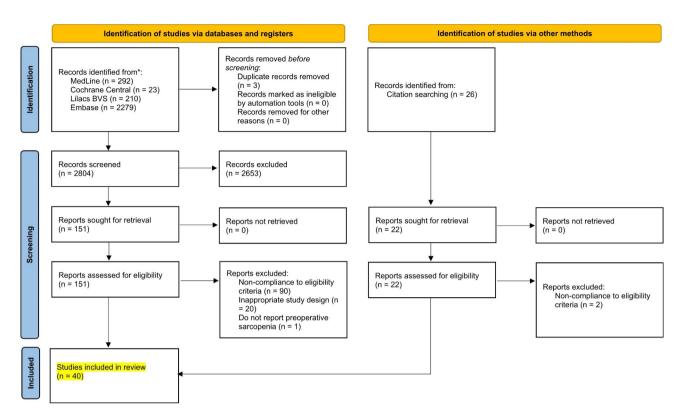


Fig. 4 Postoperative complications. (a) Anastomotic leak; (b) Pneumonia

it not only negatively influenced esophageal cancer, but also the other types of cancer surgery [62, 63]. Weakening of the muscles responsible for changing the volume of the thoracic cavity during respiration may favor low thoracic expansibility during the postoperative period, which in turn leads to a higher risk for atelectasis, pleural effusion, and pneumonia [64]. Besides, loss of thoracic wall muscles may also contribute to extubation failure and prolonged mechanical ventilation [65]. The length of mechanical ventilation is directly related to the risk of ventilator-associated pneumonia. Chastre et al. [66] showed that the cumulative risk for pneumonia caused by *Acinetobacter spp.* in patients under mechanical ventilation is 3.4, 20, and 48% at 10, 20, and 30 days after the intubation, respectively.

Generalized sarcopenia of skeletal muscles also reflects in swallowing muscles. This condition is named sarcopenic dysphagia [67]. Loss of strength in the swallowing muscles may also contribute to aspiration pneumonia [68] and enhance perioperative malnutrition due to dysphagia, leading to a vicious cycle of sarcopenic dysphagia and malnutrition.

The limb and trunk skeletal muscle loss also impacts the patient's capacity for early ambulation. The mobilization is inherently challenging in the postoperative course of an esophagectomy due to the restrictions imposed by thoracic drains, catheters, pumps, central lines, feeding tubes, and pain. Patients who delay mobilization have an increased incidence of pulmonary conditions, infectious complications, extended hospitalization, and a decreased home discharge rate [69, 70]. In addition, bed rest enhances muscle loss and sarcopenia [71], creating another vicious cycle in which patients lack limb strength and immobilization, postponing patients' recovery from surgery. For this reason, early ambulation is considered one of the cornerstone components of enhanced recovery after surgery (ERAS) protocols [72], it's recommend early mobilization to improve lung function and tissue oxygenation and avoid thromboembolic events [73]. Additionally, there is also proven evidence of benefits to the patients that enroll in prehabilitation intervention [74, 75]. Especially the multimodal therapy which has a combination of aerobic and resistance exercises, nutritional supplementation and psychological support [76].

Muscle fibers also influence the immunological response by controlling interleukin-6 and other peptides, regulating the synthesis of tumor necrosis factor-alpha and insulin resistance [77]. The reduction in skeletal muscle may cause immunosenescence, which is characterized by decreased cellular immunological function and increased inflammatory activity [78] in response to tumors, releasing pro-inflammatory cytokines and growth factors. A number of inflammatory indicators are reportedly prognostic factors of cancers, including the C-reactive protein-to-albumin ratio, neutrophil-to-lymphocytes ratio, and others [79, 80]. These inflammatory

a	)
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Study	Yes	penia i No	No sarc Yes	openia No	Risk Diff. with 95% Cl
FEHRENBACH U - 2021	45	10	10	11	
	45	13	16		
WAKEFILED CJ - 2021	17	22	6	7	-0.03 [ -0.34, 0.29
MAYANAGI S - 2020	79	54	27	27	
WANG PY - 2020	41	14	32	65	— 0.42 [ 0.27, 0.56
SRPCIC M - 2020	11	12	54	62	0.01 [ -0.21, 0.24
ISHIDA T - 2019	29	14	38	84	0.36 [ 0.20, 0.53]
KAMITANI N - 2019	48	32	6	4	0.00 [ -0.32, 0.32
OGUMA J - 2019	17	11	59	107	0.25 [ 0.06, 0.45]
XU J - 2019	46	27	25	43	0.26 [ 0.10, 0.42]
SOMA D - 2019	30	15	27	30	0.19 [ 0.00, 0.38]
NAKASHIMA Y - 2018	59	112	53	117	
JARVINEN T - 2018	62	30	17	6	-0.07 [ -0.27, 0.14]
MAKIURA D - 2018	21	10	29	38	0.24 [ 0.04, 0.45]
ELLIOTT JA - 2017	39	10	99	44	0.10 [ -0.03, 0.24
KUDOU K - 2017	10	32	33	73	-0.07 [ -0.23, 0.08
PAIREDER M - 2017	18	62	10	40	0.03 [ -0.12, 0.17]
GROTENHUIS BA - 2016	42	12	45	21	0.10 [ -0.06, 0.25]
NISHIGORI T - 2016	79	70	24	26	0.05 [ -0.11, 0.21]
HARADA K - 2016	55	29	113	59	-0.00 [ -0.13, 0.12]
PANJE CM - 2019	12	19	18	11 -	-0.23 [ -0.48, 0.01]
SIEGAL SR - 2018	60	67	23	23	-0.03 [ -0.20, 0.14
SAEKI H - 2018	25	60	25	47	-0.05 [ -0.20, 0.09]
MURNANE LC- 2021	34	32	23	19	-0.03 [ -0.23, 0.16
SUGIMURA K - 2022	46	93	79	145	-0.02 [ -0.12, 0.08
Overall					• 0.08 [ 0.02, 0.14
Heterogeneity: $\tau^2 = 0.01$ , $I^2$	= 67.69	9%, H <sup>2</sup>	= 3.09		
Test of $\theta_i = \theta_j$ : Q(23) = 70.3	3, p = 0	.00			
Test of $\theta = 0$ : z = 2.61, p =	0.01				
				5	0 .5

Random-effects Hedges model

Favors Sarcopenia Favors Non-Sarcopenia

# b)

~)	Sarco	penia I	No sarc	openia		Risk Diff.	Weight
Study	Yes	No	Yes	No		with 95% CI	(%)
FEHRENBACH U - 2021	35	23	10	17		0.23 [ 0.01, 0.45]	6.49
UEMURA S - 2021	43	35	7	36		0.39 [ 0.23, 0.54]	9.12
WANG PY - 2020	12	43	3	94		0.19 [ 0.07, 0.30]	11.18
MAKIURA D - 2018	9	22	13	54		0.10 [ -0.09, 0.28]	7.82
ELLIOTT JA - 2017	12	37	17	126		0.13 [ -0.01, 0.26]	10.30
KUDOU K - 2017	4	38	11	95	_	-0.01 [ -0.11, 0.10]	11.61
GROTENHUIS BA - 2016	7	47	7	59		0.02 [ -0.09, 0.14]	11.08
NISHIGORI T - 2016	41	108	13	37	_	0.02 [ -0.13, 0.16]	9.83
HARADA K - 2016	12	72	19	153		0.03 [ -0.06, 0.12]	12.51
MURNANE LC- 2021	16	50	4	38		0.15[ 0.01, 0.28]	10.07
Overall					<b>•</b>	0.11 [ 0.04, 0.19]	
Heterogeneity: τ <sup>2</sup> = 0.01, I <sup>2</sup>	= 68.90	)%, H <sup>2</sup> :	= 3.22				
Test of $\theta_i = \theta_j$ : Q(9) = 26.62	, p = 0.0	00					
Test of $\theta$ = 0: z = 2.99, p =	0.00						
				2	0.2.4	6	

Random-effects Hedges model

Favors Sarcopenia Favors Non-Sarcopenia

Fig. 5 Length of hospital stay

a)	Sarco	penia I	No sard	openia		Risk Diff.	Weight
Study	Yes	No	Yes	No		with 95% CI	(%)
NAMBARA M - 2021	3	25	6	39		-0.03 [ -0.18, 0.13]	1.23
FEHRENBACH U - 2021	8	50	з	24		0.03 [ -0.12, 0.17]	1.29
UEMURA S - 2021	13	65	6	37		0.03 [ -0.11, 0.16]	1.60
WAKEFILED CJ - 2021	5	34	2	11		-0.03 [ -0.25, 0.20]	0.57
MAYANAGI S - 2020	15	118	4	50		0.04 [ -0.05, 0.13]	3.63
WANG PY - 2020	7	48	1	96		0.12[ 0.03, 0.21]	3.45
SRPCIC M - 2020	4	19	17	99		0.03 [ -0.14, 0.20]	1.00
ISHIDA T - 2019	3	40	4	118		0.04 [ -0.05, 0.12]	4.15
OGUMA J - 2019	7	21	20	146		0.13 [ -0.04, 0.30]	1.00
XU J - 2019	1	72	3	65		-0.03 [ -0.09, 0.03]	9.11
YASSAIE SS - 2019	6	27	3	17		0.03 [ -0.17, 0.24]	0.67
SOMA D - 2019	9	36	10	47		0.02 [ -0.13, 0.18]	1.20
MATSUNAGA T - 2019	5	77	4	77		0.01 [ -0.06, 0.08]	5.74
NAKASHIMA Y - 2018	42	129	28	142		0.08 [ -0.00, 0.17]	3.88
JARVINEN T - 2018	13	79	2	21		0.05 [ -0.08, 0.19]	1.54
MAKIURA D - 2018	4	27	6	61		0.04 [ -0.10, 0.18]	1.52
ELLIOTT JA - 2017	0	49	9	134	-	-0.06 [ -0.10, -0.02]	17.79
KUDOU K - 2017	2	40	7	99		-0.02 [ -0.10, 0.06]	4.42
PAIREDER M - 2017	9	71	8	42		-0.05 [ -0.17, 0.08]	1.86
NISHIGORI T - 2016	20	129	4	46		0.05 [ -0.04, 0.15]	3.26
HARADA K - 2016	21	63	24	148		0.11 [ 0.00, 0.22]	2.50
IDA S - 2015	8	53	12	65		-0.02 [ -0.14, 0.09]	2.05
SIEGAL SR - 2018	7	120	2	44		0.01 [ -0.06, 0.08]	5.58
SAEKI H - 2018	17	68	14	58		0.01 [ -0.12, 0.13]	1.81
MURNANE LC- 2021	8	58	2	40		0.07 [ -0.03, 0.18]	2.72
COLCORD ME- 2021	2	39	6	128		0.00 [ -0.07, 0.08]	5.06
KURITA D - 2022	2	20	16	58 -		-0.13 [ -0.28, 0.03]	1.21

Overall

SUGIMURA K - 2022

Heterogeneity:  $\tau^2 = 0.00$ ,  $I^2 = 0.00\%$ ,  $H^2 = 1.00$ Test of  $\theta_i = \theta_j$ : Q(27) = 37.20, p = 0.09 Test of  $\theta = 0$ : z = 0.68, p = 0.50

Random-effects Hedges model

10 129 13 211

-.2 .2 Ó .4 Favors Sarcopenia Favors Non-Sarcopenia

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0.01 [ -0.04, 0.07] 10.13

0.01 [ -0.01, 0.02]

# b)

	Sarco	penia I	No sarc	openia		Risk Diff.	Weight
Study	Yes	No	Yes	No		with 95% CI	(%)
NAMBARA M - 2021	5	23	4	41		0.09 [ -0.07, 0.25]	4.17
FEHRENBACH U - 2021	22	36	5	22		0.19 [ 0.00, 0.39]	3.53
UEMURA S - 2021	26	52	9	34		0.12 [ -0.04, 0.28]	4.27
WAKEFILED CJ - 2021	8	31	0	13		0.21 [ 0.08, 0.33]	5.19
MAYANAGI S - 2020	17	116	6	48		0.02 [ -0.08, 0.12]	5.97
WANG PY - 2020	21	34	2	95		0.36 [ 0.23, 0.49]	5.05
ISHIDA T - 2019	16	27	11	111		0.28 [ 0.13, 0.44]	4.45
XU J - 2019	21	52	8	60		0.17 [ 0.04, 0.30]	5.13
YASSAIE SS - 2019	15	18	7	13		0.10 [ -0.16, 0.37]	2.29
SOMA D - 2019	15	30	6	51		0.23 [ 0.07, 0.39]	4.30
MATSUNAGA T - 2019	11	71	8	73		0.04 [ -0.06, 0.13]	6.06
NAGATA K - 2018	5	24	25	69 -		-0.09 [ -0.26, 0.07]	4.18
ELLIOTT JA - 2017	22	27	39	104		0.18 [ 0.02, 0.33]	4.35
PAIREDER M - 2017	11	69	4	46		0.06 [ -0.05, 0.16]	5.81
MAKIURA D - 2016	11	18	13	62		0.21 [ 0.01, 0.40]	3.45
NISHIGORI T - 2016	44	105	5	45		0.20 [ 0.08, 0.31]	5.68
SIEGAL SR - 2018	15	112	5	41		0.01 [ -0.10, 0.12]	5.82
MURNANE LC- 2021	20	46	3	39		0.23 [ 0.10, 0.37]	4.94
COLCORD ME- 2021	8	33	8	126		0.14 [ 0.01, 0.26]	5.16
KURITA D - 2022	11	11	33	146		0.32 [ 0.10, 0.53]	3.07
SUGIMURA K - 2022	12	127	26	198	-	-0.03 [ -0.09, 0.03]	7.14
Overall					•	0.13 [ 0.09, 0.18]	
Heterogeneity: $\tau^2 = 0.01$ , I	<sup>2</sup> = 63.6	6%, H <sup>2</sup>	= 2.75				
Test of $\theta_i = \theta_j$ : Q(20) = 69.	89, p =	0.00					
Test of θ = 0: z = 5.43, p =	0.00					_	
					2 0 .2 .4	.6	

Random-effects Hedges model

Favors Sarcopenia Favors Non-Sarcopenia

Fig. 6 Overall survival (3-year follow-up)

biomarkers are purportedly linked to the long-term survival of several cancer types, including esophageal neoplasms [81–84]. This inflammatory change might cause decreased host response to cancer [85] and may explain why sarcopenia impairs survival rates, as demonstrated in the current study's findings.

Sarcopenia is also an indirect finding of the whole malnutrition status, comprising deficiency in the ingested amount of proteins, calories, minerals, and vitamins, all of which are essential for proper immune system function, cancer cells fighting, infections control, and healing processes [86, 87]. Hypoalbuminemia is one of the serum biomarkers of inadequate protein intake [88], and its relationship to unfavorable surgical results has been well established [89]. Albumin is involved in a range of physiological processes in the human body, including fluid kinetics and metabolism, and consequently, its deficiency is associated with numerous adverse postoperative outcomes [90]. Joliat et al. [91], in a recently published systematic review evaluating outcomes in gastrointestinal surgery, showed that low serum albumin was related to wound-related complications, acute respiratory distress syndrome, acute kidney injury, sepsis, anastomotic leak, ileus, and others.

In this sense, it is essential to discuss the available interventions for sarcopenia prevention, treatment, and decreasing its process before esophagectomy. Every esophageal cancer patient planning to undergo esophagectomy should be thoroughly evaluated for sarcopenia, where sarcopenia examination and severity classification should be purposefully undertaken to contemplate some prehabilitation strategies that aim to reverse the sarcopenia status before the surgery [92].

This study has some limitations. The definition of sarcopenia and the methodologies applied for measuring body composition employed in each study were heterogeneous, which is one of the study's shortcomings. Several methods for evaluating sarcopenia have been proposed, such as lumbar skeletal muscle index, skeletal muscle mass index, psoas muscle index, low appendicular skeletal muscle mass index, and others. Besides, the cut point for differentiating sarcopenic and non-sarcopenic patients is still not well established. Most of the included studies used different cut points for women and men, considering the likely differences in muscle mass between these groups. The most frequently reported parameter and cutoff value used was lumbar skeletal muscle index (SMI) $\leq$ 38.5 cm<sup>2</sup>/m<sup>2</sup> in women and  $\leq$ 52.4 cm<sup>2</sup>/m<sup>2</sup> in men. In a subgroup analysis, using only studies that applied this cut point for SMI, the statistical heterogeneity was reduced. However, other demographic variables aside from sex might also impact muscle mass, including ethnicity, age, and comorbidities, all contributing to clinical heterogeneity among the studies. Considering the presumed clinical heterogeneity, we used the random effect as the primary analysis model. However, sensitivity analysis with the fixed effect model in the subgroup analysis was consistent for most endpoints, demonstrating the robustness and validity of our findings, despite the study's limitations.

#### Conclusion

Sarcopenia is a highly significant preoperative comorbidity in patients submitted to esophagectomy for cancer. Preoperative sarcopenia imposes a higher risk for overall complications and severe complications. Besides, patients with sarcopenia had a lower chance of long-term survival.

#### Abbreviations

- SMM Skeletal muscle mass
- SMI Skeletal muscle index
- ICU Intensive care unit
- RD Risk difference
- MD Mean differences

#### Supplementary Information

The online version contains supplementary material available at https://doi.org/10.1186/s12893-023-02149-6.

Additional File 1: Subgroup analysis included only studies using Skeletal Muscle Mass Index (SMI) for assessing sarcopenia

Additional File 2: Newcastle-Ottawa Quality Assessment Form for Cohort Studies

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#### Authors' Contributions

Study concepts: Francisco Tustumi; Study design: Francisco Tustumi; Data acquisition: Amanda Park; Quality control of data and algorithms: Amanda Park and Daniel José Szor; Data analysis and interpretation: Marina Feliciano Orlandini and Ulysses Ribeiro Junior; Statistical analysis: Marina Feliciano Orlandini and Daniel José Szor; Manuscript preparation: Marina Feliciano Orlandini; Manuscript editing: Amanda Park; Manuscript review: Amanda Park and Ulysses Ribeiro Junior. All authors have read and approved the publication of the study.

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#### Data Availability

The datasets used and/or analysed during the current study available from the corresponding author on reasonable request.

#### Declarations

#### Competing interests

The authors have no conflict of interest.

#### Ethics approval and consent to participate

The local institutional review board waived ethical approval and consent to participate for this study due to the review design of the manuscript.

#### **Consent for publication**

Not applicable.

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